

# **Chapter 2**

## **Energy and the First Law of Thermodynamics Part 1**

# Learning Outcomes

- ▶ Demonstrate understanding of key concepts related to energy and the first law of thermodynamics. . . including internal, kinetic, and potential energy, work and power, heat transfer and heat transfer modes, heat transfer rate, power cycle, refrigeration cycle, and heat pump cycle.

# Learning Outcomes, cont.

- ▶ **Apply** closed system energy balances, appropriately modeling the case at hand, and correctly observing sign conventions for work and heat transfer.

# Learning Outcomes, cont.

- ▶ **Apply** closed system energy balances, appropriately modeling the case at hand, and correctly observing sign conventions for work and heat transfer.
- ▶ **Conduct** energy analyses of systems undergoing thermodynamic cycles, evaluating as appropriate thermal efficiencies of power cycles and coefficients of performance of refrigeration and heat pump cycles.

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- ▶ For closed systems, energy is transferred in and out across the system boundary by two means only: by work and by heat.
- ▶ Energy is conserved. This is the first law of thermodynamics.

# Closed System Energy Balance

- ▶ The closed system energy balance states:

$$\left[ \begin{array}{l} \text{The } \textit{change} \text{ in the} \\ \text{amount of energy} \\ \text{contained within} \\ \text{a closed system} \\ \text{during some time} \\ \text{interval} \end{array} \right] = \left[ \begin{array}{l} \textit{Net} \text{ amount of energy} \\ \text{transferred in and out} \\ \text{across the system boundary} \\ \text{by heat and work during} \\ \text{the time interval} \end{array} \right]$$

- ▶ We now consider several aspects of the energy balance, including what is meant by **energy change** and **energy transfer**.



# Change in Energy of a System

In engineering thermodynamics the **change in energy of a system** is composed of three contributions:

- ▶ Kinetic energy
- ▶ Gravitational potential energy
- ▶ Internal energy

# Change in Kinetic Energy

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# Change in Kinetic Energy

- ▶ The change in kinetic energy is associated with the **motion of the system as a whole relative to an external coordinate frame** such as the surface of the earth.
- ▶ For a system of mass  $m$  the change in kinetic energy from state 1 to state 2 is

$$\Delta KE = KE_2 - KE_1 = \frac{1}{2} m (V_2^2 - V_1^2) \quad \text{(Eq. 2.5)}$$

## where

- ▶  $V_1$  and  $V_2$  denote the velocities at their respective states.
- ▶ The symbol  $\Delta$  denotes: final value minus initial value.

# Change in Gravitational Potential Energy

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- ▶ The change in gravitational potential energy is associated with the **position of the system in the earth's gravitational field**.
- ▶ For a system of mass  $m$  the change in potential energy from state 1 to state 2 is

$$\Delta PE = PE_2 - PE_1 = mg(z_2 - z_1) \quad \text{(Eq. 2.10)}$$

## where

- ▶  $z_1$  and  $z_2$  denote the elevations relative to the surface of the earth at states 1 and 2, respectively.
- ▶  $g$  is the acceleration of gravity.

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# Change in Internal Energy

- ▶ The change in internal energy is associated with the **makeup of the system, including its chemical composition**.
- ▶ There is no simple expression comparable to **Eqs. 2.5** and **2.10** for evaluating internal energy change for a wide range of applications. In most cases we will evaluate internal energy change using **data from tables** in appendices of the textbook.
- ▶ Like kinetic and gravitational potential energy, **internal energy is an extensive property**.
  - ▶ Internal energy is represented by  $U$ .
  - ▶ The specific internal energy on a mass basis is  $u$ .
  - ▶ The specific internal energy on a molar basis is  $\bar{u}$ .



# Change in Energy of a System

▶ In summary, the **change** in energy of a system from state 1 to state 2 is

$$E_2 - E_1 = (U_2 - U_1) + (KE_2 - KE_1) + (PE_2 - PE_1)$$

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**(Eq. 2.27a)**

$$\Delta E = \Delta U + \Delta \text{KE} + \Delta \text{PE} \quad \text{(Eq. 2.27b)}$$

▶ It is frequently the **changes** in the **energy** of a system between states that **have significance**.

# Energy Transfer by Work

▶ Energy can be transferred to and from closed systems by two means only:

▶ Work

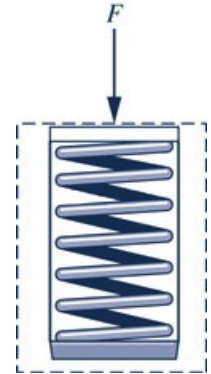
▶ Heat

# Energy Transfer by Work

- ▶ Energy can be transferred to and from closed systems by two means only:
  - ▶ Work
  - ▶ Heat
- ▶ You have studied work in mechanics and those concepts are retained in the study of thermodynamics.

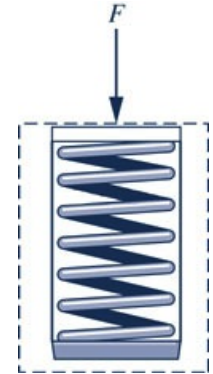
# Illustrations of Work

▶ When a **spring is compressed**, energy is transferred to the spring by work.

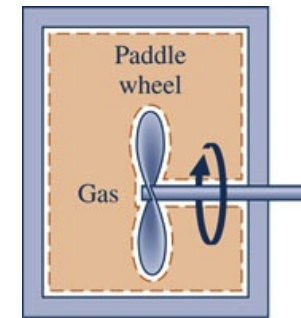


# Illustrations of Work

▶ When a **spring is compressed**, energy is transferred to the spring by work.



▶ When a **gas in a closed vessel is stirred**, energy is transferred to the gas by work.



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# Energy Transfer by Work

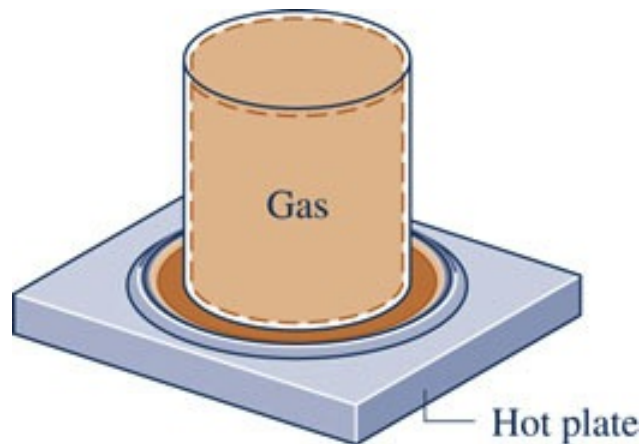
- ▶ The symbol  $W$  denotes an **amount of energy transferred** across the boundary of a system **by work**.
- ▶ Since engineering thermodynamics is often concerned with internal combustion engines, turbines, and electric generators whose purpose is to do work, **it is convenient to regard the work done by a system as positive**.
  - ▶  $W > 0$ : work **done by** the system
  - ▶  $W < 0$ : work **done on** the system

The same sign convention is used for the **rate of energy transfer by work (power!)**, denoted by  $\dot{W}$ .



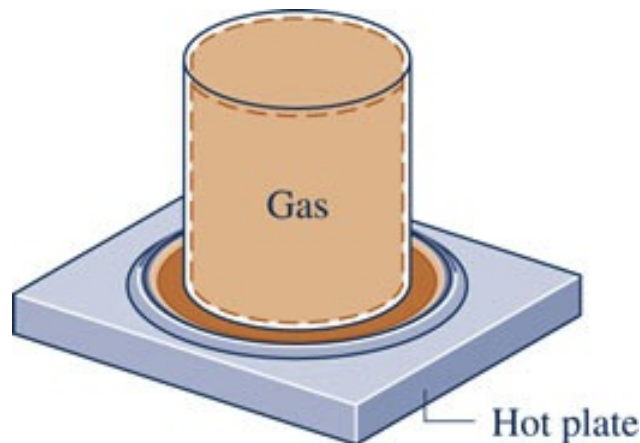
# Energy Transfer by Heat

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# Energy Transfer by Heat

- ▶ **Energy transfers by heat** are induced only as a result of a **temperature difference** between the system and its surroundings.
- ▶ Net energy transfer by heat occurs only in the **direction of decreasing temperature**.



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  - ▶  $Q > 0$ : heat transfer **to the** system
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- ▶ If a system undergoes a process involving **no heat transfer** with its surroundings, that process is called ***adiabatic***.

# Summary: Closed System Energy Balance

▶ The energy concepts introduced thus far are summarized in words as follows:

$$\left[ \begin{array}{l} \textit{change} \text{ in the amount} \\ \text{of energy contained} \\ \text{within a system} \\ \text{during some time} \\ \text{interval} \end{array} \right] = \left[ \begin{array}{l} \textit{net} \text{ amount of energy} \\ \text{transferred } \textit{in} \text{ across} \\ \text{the system boundary by} \\ \textit{heat transfer} \text{ during} \\ \text{the time interval} \end{array} \right] - \left[ \begin{array}{l} \textit{net} \text{ amount of energy} \\ \text{transferred } \textit{out} \text{ across} \\ \text{the system boundary} \\ \text{by } \textit{work} \text{ during the} \\ \text{time interval} \end{array} \right]$$

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► Using previously defined symbols, this can be expressed as:  $E_2 - E_1 = Q - W$  (Eq. 2.35a)

► Alternatively,  $\Delta KE + \Delta PE + \Delta U = Q - W$  (Eq. 2.35b)

In Eqs. 2.35, a **minus sign** appears **before**  $W$  because energy transfer by work from the system to the surrounding is taken as positive.



# Summary: Closed System Energy Balance

▶ The **time rate form** of the closed system energy balance is

$$\frac{dE}{dt} = \dot{Q} - \dot{W} \quad \text{(Eq. 2.37)}$$

▶ The rate form expressed in words is

$$\left[ \begin{array}{l} \text{time } \textit{rate of change} \\ \text{of the energy} \\ \text{contained within} \\ \text{the system } \textit{at} \\ \textit{time } t \end{array} \right] = \left[ \begin{array}{l} \text{net } \textit{rate} \text{ at which} \\ \text{energy is being} \\ \text{transferred in} \\ \text{by heat transfer} \\ \textit{at time } t \end{array} \right] - \left[ \begin{array}{l} \text{net } \textit{rate} \text{ at which} \\ \text{energy is being} \\ \text{transferred out} \\ \text{by work } \textit{at} \\ \textit{time } t \end{array} \right]$$