

# Matroids vs. $\Delta$ -... Matroids in $\Delta$ -... Realization Problem















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#### $\Delta$ -matroids and Matroids

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## 1. Matroids vs. $\Delta$ -matroids

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#### M is a Matroid

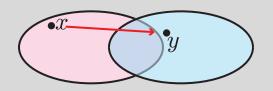
E a finite set – the ground set of M

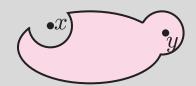
 $\mathcal{B} \subseteq \mathcal{P}(E)$  – the bases of M

The basis exchange axiom:

$$B_1, B_2 \in \mathcal{B}, x \in B_1 \setminus B_2 \Longrightarrow \exists y \in B_2 \setminus B_1$$

$$(B_1 \cup \{y\}) \setminus \{x\} = B_1 \triangle \{x, y\} \in \mathcal{B}$$







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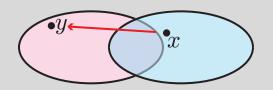
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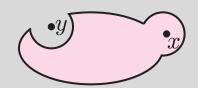
#### M is a Matroid

E a finite set – the ground set of M $\mathcal{B} \subseteq \mathcal{P}(E)$  – the bases of M of subsets of EThe alternate basis exchange axiom:

$$B_1, B_2 \in \mathcal{B}, x \in B_2 \setminus B_1 \Longrightarrow \exists y \in B_1 \setminus B_2$$

$$(B_1 \cup \{x\}) \setminus \{y\} = B_1 \triangle \{x, y\} \in \mathcal{B}$$







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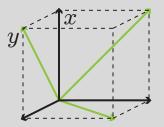
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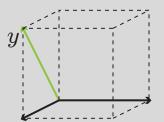
#### M is a Matroid

E a finite set – the ground set of M  $\mathcal{B} \subseteq \mathcal{P}(E)$  – the bases of M of subsets of EThe basis exchange axiom:

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#### M is a Matroid

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Bases  $-B \in \mathcal{B}.$ 

Independent sets  $\mathcal{I} - I \subseteq B \in \mathcal{B}$ .

Dependent sets  $\mathcal{D} - \mathcal{D} \notin \mathcal{I}$ 

Cycles (circuits)  $\mathcal{C} - C \in \mathcal{D}, C \not\subset D \in \mathcal{D}$ 

Spanning sets  $S - S \supset B \in \mathcal{B}$ .



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#### M is a Matroid

E a finite set – the ground set of M  $\mathcal{B} \subseteq \mathcal{P}(E)$  – the bases of M of subsets of EThe basis exchange axiom:  $B_1, B_2 \in \mathcal{B}, x \in B_1 \setminus B_2 \Longrightarrow \exists y \in B_2 \setminus B_1$  $(B_1 \cup \{y\}) \setminus \{x\} = B_1 \triangle \{x,y\} \in \mathcal{B}$ 

Whitney	1935	[13]	
W. T. Tutte	1971	[11]	(standard text)
D. J. A. Welsh	1976	[12]	(graph theory)
James Oxley	2011	[8]	(geometric/algebraic)
András Recski	1989	[10]	(applied approach)
Leonidas Pitsoulis	2014	[9]	,



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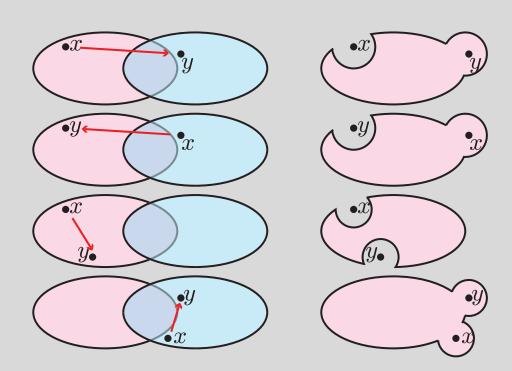
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#### D is a $\Delta$ -matroid

The symmetric exchange axiom:

$$F_1, F_2 \in \mathcal{F}, x \in F_1 \triangle F_2 \Longrightarrow \exists y \in F_1 \triangle F_2$$

$$F_1 \triangle \{x,y\} \in \mathcal{F}$$





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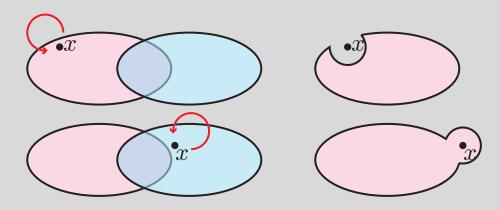
#### D is a $\Delta$ -matroid

The symmetric exchange axiom:

$$F_1, F_2 \in \mathcal{F}, x \in F_1 \triangle F_2 \Longrightarrow \exists y \in F_1 \triangle F_2$$

$$F_1 \triangle \{x,y\} \in \mathcal{F}$$

x = y:



$$|F_1| - 2 \le |F_2| \le |F_1| + 2$$

Feasible sets  $\mathcal{F}$   $F \in \mathcal{F}$ .



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#### D is a $\Delta$ -matroid

The symmetric exchange axiom:

$$F_1, F_2 \in \mathcal{F}, x \in F_1 \triangle F_2 \Longrightarrow \exists y \in F_1 \triangle F_2$$

$$F_1 \triangle \{x,y\} \in \mathcal{F}$$

Bouchet 1987 [1] (Δ-matroids)
Bouchet 1998 [2, 3, 5, 4] (multimatroids)
Dress & Havel 1986 [7] (metroids)
Chandrasekaran 1988 [6] (pseudometroids)



















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## 2. Matroids in $\Delta$ -matroids

Every matroid M is a  $\Delta$ -matroid  $(\mathcal{F} = \mathcal{B})$ 

Every  $\Delta$ -matroid D with  $\mathcal{F} \subseteq \mathcal{P}_n(E)$  is a matroid M,  $(\mathcal{B} = \mathcal{F})$ 

Given a  $\Delta$ -matroid D,

 $M_u$ , the *upper matroid*, whose bases are the feasible sets with largest cardinality

 $M_l$ , the *lower matroid*, whose bases are the feasible sets with least cardinality



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**Theorem 1** Let  $M = (E, \mathcal{B})$  be a matroid with independent sets  $\mathcal{I}$ . Then  $D = (E, \mathcal{I})$  is a  $\Delta$ -matroid.

The upper matroid is  $(E, \mathcal{B})$  and the lower matroid  $(E, \emptyset)$ .

**Theorem 2** Let  $M = (E, \mathcal{B})$  be a matroid with spanning sets  $\mathcal{S}$ . Then  $D = (E, \mathcal{S})$  is a  $\Delta$ -matroid.

The upper matroid is  $(E, \mathcal{P}(E))$  and the lower matroid  $(E, \mathcal{B})$ .

**Theorem 3** If  $D = (E, \mathcal{F})$  is a  $\Delta$ -matroid,  $F \in \mathcal{F}$ , then F is spanning in  $M_l$  and F is independent in  $M_u$ .

Corollary 1 If  $M_u = (E, \mathcal{B}_u)$  and  $M_l = (E, \mathcal{B}_l)$  are matroids, then for  $M_u$  and  $M_l$  to be upper and lower matroids of a  $\Delta$ -matroid  $D = (E, \mathcal{F})$  it is necessary that

- every basis of  $M_u$  be spanning in  $M_l$  and
- every basis of  $M_l$  be independent in  $M_u$ .





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Upper and Lower matroids do not determine the D-matroid:

$$\{\{a,b\},\{a\},\{b\},\emptyset\}$$
  $\{\{a,b\},\emptyset\}$ 

## 3. Realization Problem

Given  $M_l = (E, \mathcal{B}_l)$  and  $M_u = (E, \mathcal{B}_u)$ , construct  $D = (E, \mathcal{F})$  realizing them.















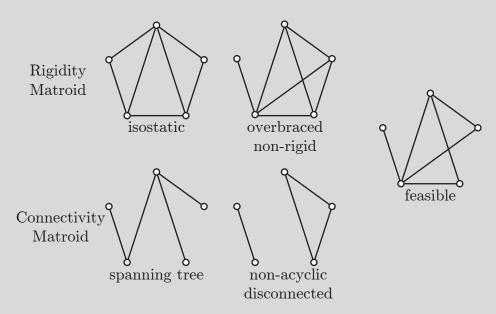




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An example with as many intermediate feasible sets as possible:



**Theorem 4** G = (V, E) a connected simple graph.

 $M_c$  the connectivity matroid (cycle matroid)

 $M_r$  the 2-dimensional generic rigidity matroid

 $\mathcal{F}$ : F connected (spanning in  $M_c$ ) not-overbraced (independent in  $M_r$ )

Then  $\mathcal{F}$  satisfies the symmetric exchange property.

Tool: A minimally overbraced graph is 2-connected.



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For this construction it is not necessary that a cycle  $M_u$  be connected in  $M_l$ :

### Example

 $E = \{1, 2, 3, a, b, c\},\$ 

 $M_u = U_{5,6}(E), M_l = U_{2,3}(\{1,2,3\}) \oplus U_{2,3}(\{a,b,c\}).$ 

 $D = (E, \mathcal{B}_u \cup \mathcal{B}_l)$  is a  $\Delta$ -matroid.

 $M_u$  is a cycle.

 $M_l$  is disconnected.



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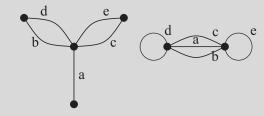
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A weaker condition: Every cycle in  $M_u$  is a union of cycles in  $M_l$ .

The weaker condition is necessary:



Two connectivity matroids on the same edge set.

 $M_u$  and  $M_l$  are matroids.

- Every basis of  $M_l$  is independent in  $M_u$
- Every basis of  $M_u$  is spanning in  $M_l$

But

Every cycle of  $M_u$  is not a union of cycles of  $M_l$ .

 $M_u$  and  $M_l$  are not the upper and lower matroids of any  $\Delta$ -matroid.





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The weaker condition is necessary:

**Theorem 5** Let  $D = (E, \mathcal{F})$ , with upper matroid  $M_u$  and lower matroid  $M_l$ .

Then every cycle in  $M_u$  is a union of cycles in  $M_l$ .

Theorem 6 Given  $M_u = (E, \mathcal{B}_u)$ ,  $M_l = (E, \mathcal{B}_u)$ , with

Every cycle in  $M_u$  is a union of cycles in  $M_l$ .

Then every  $B \in B_u$  is spanning  $M_l$ .

Then every  $B \in B_l$  is independent in  $M_l$ .





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### Necessary and Sufficient for Realization

**Theorem 7** Given  $M_u = (E, \mathcal{B}_u), M_l = (E, \mathcal{B}_u).$ 

 $M_u$  and  $M_l$  realize the  $\Delta$ -matroid  $D = (E, \mathcal{F})$  if and only if

Every cycle in  $M_u$  is a union of cycles in  $M_l$ .



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## 4. Quotients of Matroids

(Oxley [8])  $Q = (E, \mathcal{B}_Q)$  is a *quotient* of  $M = (E, \mathcal{B}_M)$  if there is a matroid  $N = (E \cup X, \mathcal{B}_N)$ ,  $E \cap X = \emptyset$ , with  $M = N \setminus X$  and Q = N/X.

**Theorem 8** (Oxley) Q is a quotient of M if and only if every circuit of M is a union of circuits of Q.

Corollary 2 Given  $M_u = (E, \mathcal{B}_u), M_l = (E, \mathcal{B}_u).$ 

 $M_u$  and  $M_l$  realize the  $\Delta$ -matroid  $D = (E, \mathcal{F})$  if and only if  $M_l$  is a quotient of  $M_u$ .



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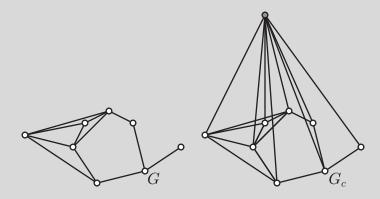
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Corollary 3 The connectivity matroid of a graph is a quotient of the rigidity matroid.



A graph G and its cone  $G_c$ .

**Theorem 9** 
$$M_r(G) = M_r(G_c) \setminus X$$
  $M_c(G) = M_r(G_c)/X$ .



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