

# Matroids on graphs

Brigitte Servatius

Worcester Polytechnic Institute

















# 1. Matroids

Home Page

Title Page





Page 2 of 100

Go Back

Full Screen

Close

Quit

Whitney [?] defined a matroid M on a set E:

$$M = (E, \mathcal{I})$$
  
  $E$  is a finite set

 $\mathcal{I}$  is a collection of subsets of E such that

I1  $\emptyset \in \mathcal{I}$ ;

**I2** If  $I_1 \in \mathcal{I}$  and  $I_2 \subseteq I_1$ , then  $I_2 \in \mathcal{I}$ 

**I3** If  $I_1$  and  $I_2$  are members of  $\mathcal{I}$  and  $|I_1| < |I_2|$ , then there exists an element e in  $I_2 - I_1$  such that  $I_1 + e$  is a member of  $\mathcal{I}$ .



Title Page





Page 3 of 100

Go Back

Full Screen

Close

Quit

#### **Bases**

Because of condition [I2], all of the maximal independent sets have the same cardinality. These maximal independent sets are called the *bases* of the matroid. The bases may be described directly: Let E be a finite set, a nonempty collection  $\mathcal{B}$  of subsets of E is called a *basis system* for M if

 $\mathbf{B1}\;\mathcal{B}\neq\emptyset$ 

**B2** For all  $B_1, B_2 \in \mathcal{B}, |B_1| = |B_2|$ 

**B3** For all  $B_1, B_2 \in \mathcal{B}$  and  $e_1 \in B_1 - B_2$ , there exists  $e_2 \in B_2 - B_1$  such that  $B_1 - e_1 + e_2 \in \mathcal{B}$ .



Title Page





Page 4 of 100

Go Back

Full Screen

Close

Quit

Condition [B3] is sometimes called the *exchange axiom*. It also has a slightly different but equivalent formulation:

**B3'** For all  $B_1, B_2 \in \mathcal{B}$  and  $e_2 \in B_2 - B_1$ , there exists  $e_1 \in B_1 - B_2$  such that  $B_1 - e_1 + e_2 \in \mathcal{B}$ .

Complements of bases also satisfy [B3], these complements are bases of the dual matroid.

Every matroid M has a dual  $M^*$ .



#### Rank

Let M be a matroid on E with independent sets  $\mathcal{I}$  and define  $r_{(\mathcal{I})}$ , a function from the power set of E into the nonnegative integers by  $r_{(\mathcal{I})}(S) = \max\{|I| : I \in \mathcal{I}, I \subseteq S\}$ . The function  $r=r_{\mathcal{T}}$  is called the rank function of M.

In general, let E be a finite set and r a function from the power set of E into the nonnegative integers so that

$$\mathbf{R1}\ r(\emptyset) = 0;$$

**R2** 
$$r(S) \le |S|$$
;

**R3** if 
$$S \subseteq T$$
 then  $r(S) \leq r(T)$ ;

**R4** 
$$r(S \cup T) + r(S \cap T) \le r(S) + r(T);$$

then r is called a rank function on E. If r is a rank function on E we define  $\mathcal{I}(r) = \{I \subseteq E \mid r(I) = |I|\}$ 

Condition [R4] is called the *submodular inequality*.

Home Page

Title Page





Page 5 of 100

Go Back

Full Screen

Close



Title Page





Page 6 of 100

Go Back

Full Screen

Close

Quit

### Cycles

Given a finite set E, we call a collection  $\mathcal{C}$  a cycle system [?] for E, if the following three conditions are satisfied:

**Z1** If  $C \in \mathcal{C}$  then  $C \neq \emptyset$ 

**Z2** If  $C_1$  and  $C_2$  are members of  $\mathcal{C}$  then  $C_1 \not\subseteq C_2$ 

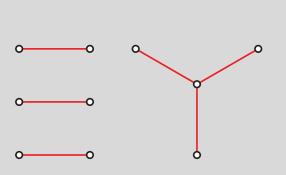
**Z3** If  $C_1$  and  $C_2$  are members of  $\mathcal{C}$  and if e is an element of  $C_1 \cap C_2$  then there is an element  $C \in \mathcal{C}$ , such that  $C \subseteq (C_1 \cap C_2 - e)$ .

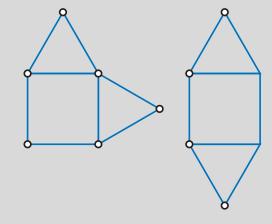


# 2. Graphs

A matroid is graphic if it is isomorphic to the  $cycle\ matroid$  on the edge set E of a graph G=(V,E). Non-isomorphic graphs may have the same cycle matroid, but 3-connected graphs are uniquely determined by their matroids.







Quit

Full Screen

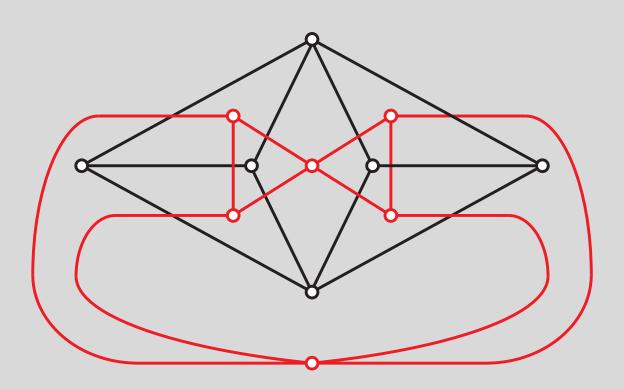
Close



M is co-graphic if  $M^*$  is graphic.

M is graphic as well as co-graphic if and only if G is planar. Map duality (geometric duality) agrees with matroid duality. The facial cycles generate the cycle space.

Home Page Title Page Page 8 of 100 Go Back Full Screen Close





#### Title Page





Page 9 of 100

Go Back

Full Screen

Close

Quit

#### Euler's formula

If G(V, E) is planar and connected, its cycle matroid has rank |V| - 1, its co-cycle matroid has rank |F| - 1, so |V| - 1 + |F| - 1 = |E|, i.e.

$$|V| - |E| + |F| = 2$$



Title Page

Page 10 of 100

Go Back

Full Screen

Close

# 3. Rigidity

# framework (in *m*-space)

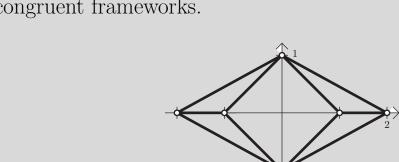
a triple  $(V, E, \overrightarrow{p})$ ,

(V, E) is a graph

 $\overrightarrow{p}:V\longrightarrow\mathbb{R}^m$ 

# rigid framework

if all solutions to the corresponding system of quadratic equations of length constraints for the edges in some neighborhood of the original solution (as a point in mn-space) come from congruent frameworks.

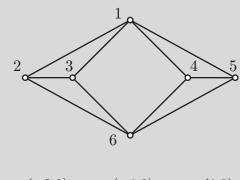






# Rigidity Matrix

Jacobian of the system



Home Page

Title Page



Page 11 of 100

Go Back

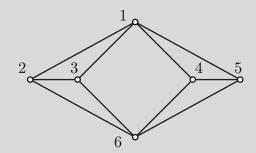
Full Screen

Close

Quit

			6			
(1.0)	_ ` .		$\mathbf{p}_3 = (-1,0)$	$\mathbf{p}_4 = (1,0)$	$\mathbf{p}_5 = (2,0)$	$\mathbf{p}_6 = (0, -1)$
(1,2) $(1,3)$	(-1,-1)	(2, 1)	(1, 1)			
(1,4) $(1,5)$	$ \begin{array}{c c} (1,-1) \\ (2,-1) \end{array} $			(-1, 1)	(-2, 1)	
(2,6) $(3,6)$		(-2, 1)	(-1 1)		(-2, 1)	(2,-1) $(1,-1)$
(4,6)			( 1, 1)	(1, 1)		(-1, -1)
(5,6) $(2,3)$		(-1, 0)	(1, 0)		(2, 1) $(2, 1)$	(-2, -1)
(4,5)				(-1, 0)	(1, 0)	





Title Page



Page 12 of 100

Go Back

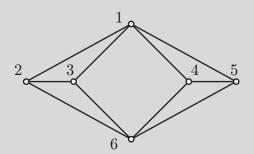
Full Screen

Close

Quit

	$p_{1,x}$	$p_{2,x}$	$p_{3,x}$	$p_{4,x}$	$p_{5,x}$	$p_{6,x}$	$p_{1,y}$	$p_{2,y}$	$p_{3,y}$	$p_{4,y}$	$p_{5,y}$	$p_{6,y}$
(1,2)	$\lceil -2 \rceil$	2					-1	1				
(1,3)	-1		1				-1		1			
(1,4)	1			-1			-1			1		
(1,5)	2				-2		-1				1	
(2,6)		-2			-2	2		1			1	-1
(3,6)			-1			1			1			-1
(4,6)				1		-1				1		-1
(5,6)					2	-2					1	-1
(2,3)		-1	1		2			0	0		1	
(4,5)				-1	1					0	0	





Title Page



<b>→</b>
----------

Page 13 of 100

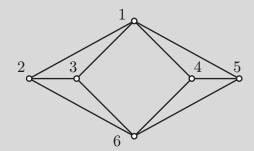
Go Back

Full Screen

Close

	$p_{1,x}$	$p_{2,x}$	$p_{3,x}$	$p_{4,x}$	$p_{5,x}$	$p_{6,x}$	$p_{1,y}$	$p_{2,y}$	$p_{3,y}$	$p_{4,y}$	$p_{5,y}$	$p_{6,y}$
(1,2)	1	1					-1	-1				
(1,3)	1		1				-1		-1			
(1,4)	1			1			-1			-1		
(1,5)	1				1		-1				-1	
(2,6)		1			1	1		-1			-1	-1
(3,6)			1			1			-1			-1
(4,6)				1		1				-1		-1
(5,6)					1	1					-1	-1
(2,3)		1	1		1			-1	-1		-1	
(4,5)	_			1	1					-1	-1	





Title Page



**→** 

Page 14 of 100

Go Back

Full Screen

Close

	$p_{1,x}$	$p_{2,x}$	$p_{3,x}$	$p_{4,x}$	$p_{5,x}$	$p_{6,x}$	$p_{1,y}$	$p_{2,y}$	$p_{3,y}$	$p_{4,y}$	$p_{5,y}$	$p_{6,y}$
(1,2)	<b>7</b> 1	1					1	1				_
(1,3)	1		1				1		1			
(1,4)	1			1			1			1		
(1,5)	1				1		1				1	
(2,6)		1			1	1		1			1	1
(3,6)			1			1			1			1
(4,6)				1		1				1		1
(5,6)					1	1					1	1
(2,3)		1	1		1			1	1		1	
(4,5)				1	1					1	1	



### Kirchhoff's matrix-tree theorem

Let A be the incidence matrix of a graph G on n vertices. The determinant of an  $(n-1) \times (n-1)$  minor of  $A^T A$  (the Laplacian matrix of G) counts the number of spanning trees in G.

Home Page Title Page



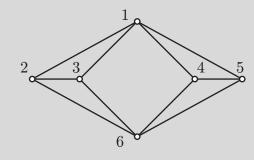


Page 15 of 100

Go Back

Full Screen

Close



$$A^{T}A = \begin{pmatrix} 4 & 1 & 1 & 1 & 1 & 0 \\ 1 & 3 & 1 & 0 & 0 & 1 \\ 1 & 1 & 3 & 0 & 0 & 1 \\ 1 & 0 & 0 & 3 & 1 & 1 \\ 1 & 0 & 0 & 1 & 3 & 1 \\ 0 & 1 & 1 & 1 & 1 & 4 \end{pmatrix} \quad \det \begin{pmatrix} 3 & 1 & 0 & 0 & 1 \\ 1 & 3 & 0 & 0 & 1 \\ 0 & 0 & 3 & 1 & 1 \\ 0 & 0 & 1 & 3 & 1 \\ 1 & 1 & 1 & 1 & 4 \end{pmatrix} = 192$$

$$\det \begin{pmatrix} 3 & 1 & 0 & 0 & 1 \\ 1 & 3 & 0 & 0 & 1 \\ 0 & 0 & 3 & 1 & 1 \\ 0 & 0 & 1 & 3 & 1 \\ 1 & 1 & 1 & 1 & 4 \end{pmatrix} = 192$$



## Oldest characterization of 2d-rigidity

## Hilda Pollaczek-Geiringer [?] (1927)

In a rigidity matrix with 2k-3 rows and 2k columns no 2k-3 sub-determinant is identically zero if and only if there is no p-set of columns (p < 2k-3) where all elements are zero which these p columns have in common with more than (2k-3)-p rows.

## Frobenius [?]

A determinant of order n some of whose elements are zero and the others independent variables is identically equal to zero if and only if there exists at least a group of p rows in which more than n-p columns contain all zeros.

Home Page

Title Page





Page 16 of 100

Go Back

Full Screen

Close



Title Page





Page 17 of 100

Go Back

Full Screen

Close

Quit

### Frobenius didn't think highly of graph theory:

FROBENIUS: Über zerlegbare Determinanten

277

negativ, so verschwinden alle Elemente von C, demnach alle Elemente der pten Spalte, und mithin ist s=0.

Die Theorie der Graphen, mittels deren Hr. König den obigen Satz abgeleitet hat, ist nach meiner Ansicht ein wenig geeignetes Hilfsmittel für die Entwicklung der Determinantentheorie. In diesem Falle führt sie zu einem ganz speziellen Satze von geringem Werte. Was von seinem Inhalt Wert hat, ist in dem Satze II ausgesprochen.



Title Page







Go Back

Full Screen

Close

Quit

# From Whitney's original paper [?]

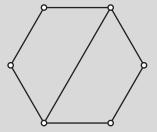
THEOREM 28. Let H be a hyperplane through the origin in  $E^n$ , of dimension r, and let H' be the orthogonal hyperplane through the origin, of dimension n-r. Let M and M' be the associated matroids. Then M and M' are duals.

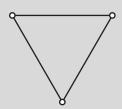


# Problem 2 on page 27 of [?]

Given an arbitrary collection  $\mathcal{D}$  of incomparable subsets of E does there exist a matroid M which has a circuit set

$$\mathcal{C}(M) \supseteq \mathcal{D}$$
?







Title Page





Page 19 of 100

Go Back

Full Screen

Close



Title Page





Page 20 of 100

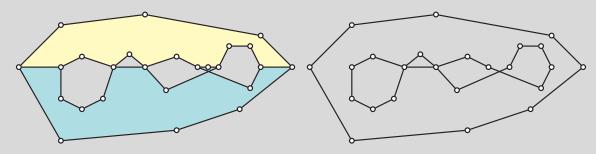
Go Back

Full Screen

Close

Quit

# Cycle axioms for graphs



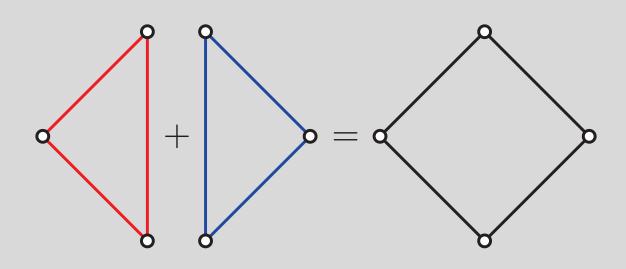
 $C_1 \triangle C_2$  is the edge disjoint union of cycles.



# 4. Matroids on $K_n$

#### Wanted:

The matroid of largest possible rank that contains a specified set of graphs as dependent sets.



**Theorem 1** The unique maximal matroid on  $K_n$  containing all triangles as cycles is the cycle matroid.

Title Page





Page 21 of 100

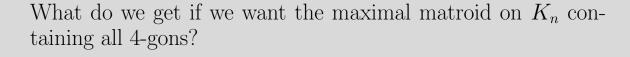
Go Back

Full Screen

Close



Title Page





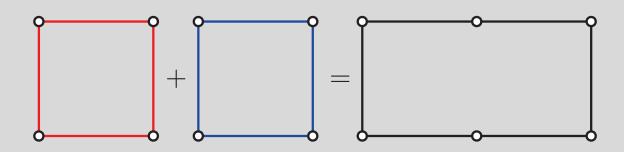




Go Back



Close





Title Page



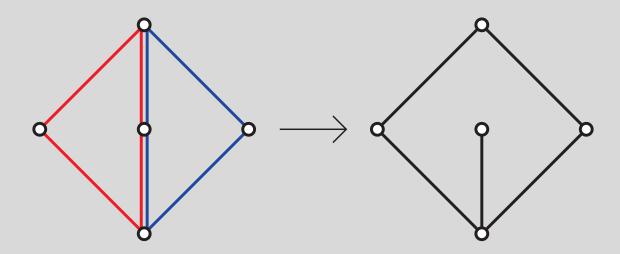


Page 23 of 100

Go Back

Full Screen

Close





Title Page



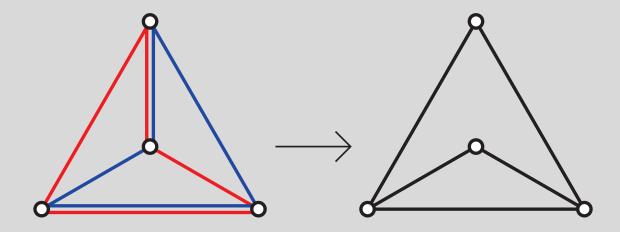


Page 24 of 100

Go Back

Full Screen

Close





Title Page



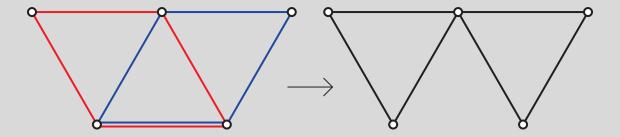


Page 25 of 100

Go Back

Full Screen

Close





Title Page



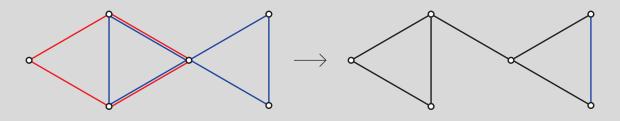




Go Back

Full Screen

Close





Home Page

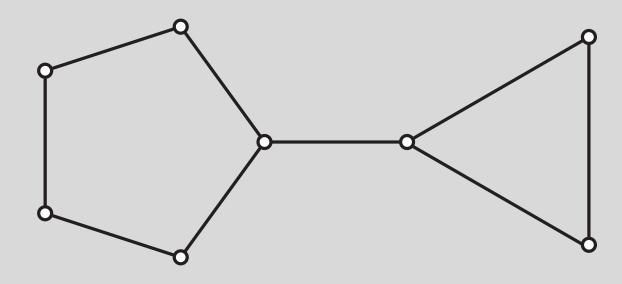
Title Page

Page 27 of 100



Go Back

Close



The set of cycles consists of all even cycles and odd dumbbells.



# What do we get from pentagons?

Home Page
Title Page



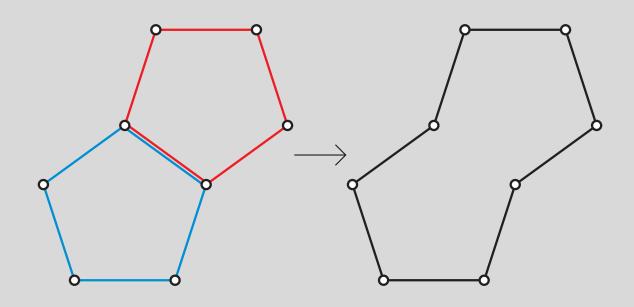




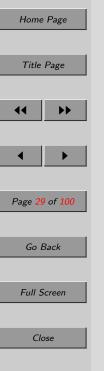
Go Back

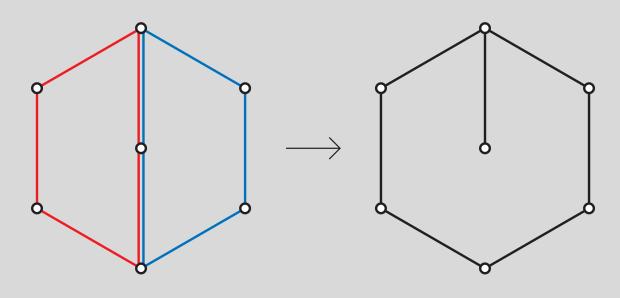
Full Screen

Close











Title Page



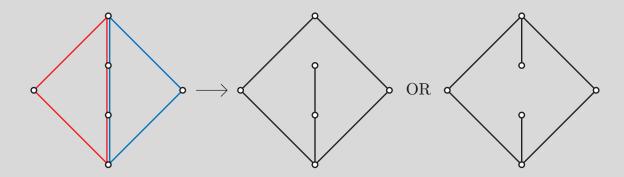


Page 30 of 100

Go Back

Full Screen

Close





Title Page



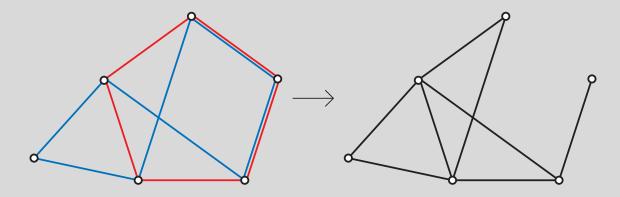


Page 31 of 100

Go Back

Full Screen

Close













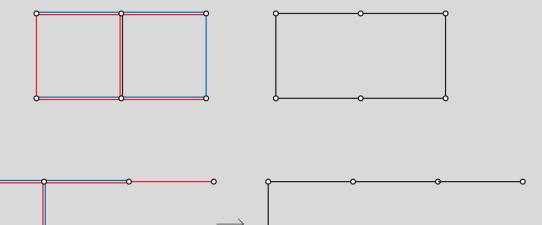
Page 32 of 100

Go Back



Close

Quit



A hexagon is dependent. A path of length 6 is dependent. The rank is bounded by 7!



Title Page







Go Back

Full Screen

Close

Quit

**Theorem 2** The unique maximal matroid on  $K_n$  containing all tetrahedra as cycles is the 2d-rigidity matroid.

Conjecture 1 The unique maximal matroid on  $K_n$  containing all  $K_5$ 's as cycles is the 3d-rigidity matroid.



Title Page





Page 34 of 100

Go Back

Full Screen

Close

Quit

# 5. Geometry

Oxley [?] emphasizes matroids coming from geometries.

Oriented matroids [?] come from hyperplanearrangements. Interesting new applications are plentiful [?].



#### Contents









Page 35 of 100

Go Back

Full Screen

Close