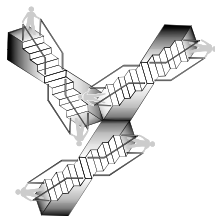


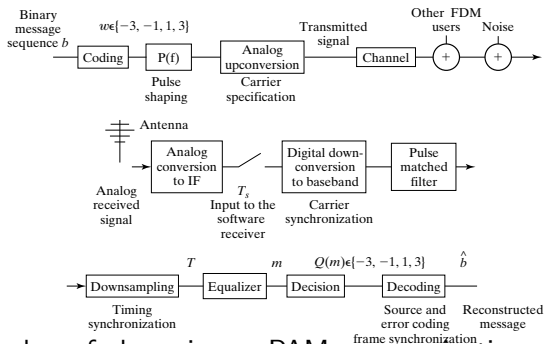
# DIGITAL FILTERING AND THE DFT

- ★ Digital Linear Filters in the Receiver
- ★ Discrete-time Linear System Tidbits
- ★ DFT Tidbits
- ★ Filter Design Tidbits



*idealized system*

# Digital Linear Filters in the Receiver



There are a number of places in our PAM communication system receiver after the sampler where a digital linear filter is needed, including:

- ▶ lowpass filter in digital downconversion
- ▶ pulse-matched filter
- ▶ timing interpolator
- ▶ equalizer
- ▶ correlator for decoder frame synchronization

# Discrete-time Linear Systems Tidbits

- ▶ *Discrete-time impulse:*

$$\delta[k] = \begin{cases} 1 & k = 0 \\ 0 & k \neq 0 \end{cases}$$

- ▶ *Signal as weighted sum of delayed impulses:*

$$\{w[k]\} = \{1, 2, -1, \dots\}$$

$$w[k] = \delta[k] + 2\delta[k - 1] - \delta[k - 2] \dots$$

- ▶ *Discrete-time linear system response:*

- ▶ input:  $w[k] = \delta[k] \Rightarrow$  output:  $y[k] = h[k]$
- ▶ input:  $w[k] = \delta[k] + 2\delta[k - 1] = w[0]\delta[k] + w[1]\delta[k - 1]$   
 $\Rightarrow$  output:  $y[k] = w[0]h[k] + w[1]h[k - 1]$
- ▶ input:  $w[k] = \sum_{j=-\infty}^{\infty} w[j] \delta[k - j]$   
 $\Rightarrow$  output:  $y[k] = \sum_{j=-\infty}^{\infty} w[j] h[k - j] \equiv w[k] * h[k]$

# DFT Tidbits

- ▶ *DFT*: Given

$$\{w[k]\} = \{w[0], w[1], \dots, w[N-1]\}$$

we define

$$\begin{aligned} W[n] &= \text{DFT}(\{w[k]\}) \\ &= \sum_{k=0}^{N-1} w[k] e^{-j(2\pi/N)nk} \quad n = 0, 1, 2, \dots, N-1 \end{aligned}$$

- ▶ *IDFT*: Given

$$\{W[n]\} = \{W[0], W[1], \dots, W[N-1]\}$$

we define

$$\begin{aligned} w[k] &= \text{IDFT}(\{W[n]\}) \\ &= \frac{1}{N} \sum_{n=0}^{N-1} W[n] e^{j(2\pi/N)nk} \quad k = 0, 1, 2, \dots, N-1 \end{aligned}$$

## DFT Tidbits (cont'd)

Define

$$\mathbf{w} = [w[0] \quad w[1] \quad w[2] \quad \dots \quad w[N-1]]^T$$

$$M^{-1} = \begin{bmatrix} 1 & 1 & 1 & \dots & 1 \\ 1 & e^{\frac{j2\pi}{N}} & e^{\frac{j4\pi}{N}} & \dots & e^{\frac{j2\pi(N-1)}{N}} \\ 1 & e^{\frac{j4\pi}{N}} & e^{\frac{j8\pi}{N}} & \dots & e^{\frac{j4\pi(N-1)}{N}} \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 1 & e^{\frac{j2(N-1)\pi}{N}} & e^{\frac{j4(N-1)\pi}{N}} & \dots & e^{\frac{j2(N-1)^2\pi}{N}} \end{bmatrix}$$

$$\mathbf{W} = [W[0] \quad W[1] \quad W[2] \quad \dots \quad W[N-1]]^T$$

Then, for the IDFT

$$\mathbf{w} = \left(\frac{1}{N}\right) M^{-1} \mathbf{W}$$

and for the DFT

$$\mathbf{W} = N M \mathbf{w}$$

## DFT Tidbits (cont'd)

$$\begin{aligned}
 N\mathbf{w} &= W[0] \begin{bmatrix} 1 \\ 1 \\ 1 \\ \vdots \\ 1 \end{bmatrix} + W[1] \begin{bmatrix} 1 \\ e^{j2\pi/N} \\ e^{j4\pi/N} \\ \vdots \\ e^{j2\pi(N-1)/N} \end{bmatrix} + \dots + W[N-1] \begin{bmatrix} 1 \\ e^{j2(N-1)\pi/N} \\ e^{j4(N-1)\pi/N} \\ \vdots \\ e^{j2(N-1)^2\pi/N} \end{bmatrix} \\
 &= W[0] C_0 + W[1] C_1 + \dots + W[N-1] C_{N-1} \\
 &= \sum_{n=0}^{N-1} W[n] C_n
 \end{aligned}$$

$\mathbf{w}$  is a linear combination of the columns  $C_n$ .

## DFT Tidbits (cont'd)

- ▶ The all ones  $C_0$  is a vector of samples of a zero frequency sinusoid.
- ▶ The entries of  $C_1$  maintain the same (unit) magnitude but have an angle that increases from 0 to  $2\pi(N - 1)/N$ , i.e. traversing one period over the data record length.
- ▶ The entries of  $C_2$  traverse the full unit circle twice in the positive (counterclockwise) direction.
- ▶ The DFT re-expresses the time vector as a linear combination of sinusoids with periods equal to the data record length, half this length, one-third this length, and so forth up to  $(1/(N - 1))$ th of this length.

## DFT Tidbits (cont'd)

The key factors in a DFT based frequency analysis are:

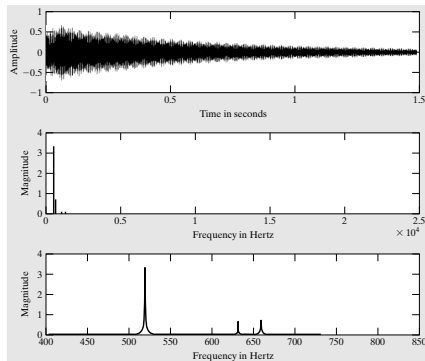
- ▶ The sampling interval  $T_s$  is the time resolution, the shortest time over which any event can be observed.
- ▶ The sampling rate is  $f_s = \frac{1}{T_s}$ . As the sample rate increases, the time resolution decreases.
- ▶ The total time is  $T = NT_s$  where  $N$  is the number of samples in the analysis.
- ▶ The frequency resolution is  $\frac{1}{T} = \frac{1}{NT_s} = \frac{f_s}{N}$ . Sinusoids closer together (in frequency) than this value are indistinguishable.
- ▶ As the time resolution increases, the frequency resolution decreases, and vice versa.



# DFT Tidbits (cont'd)

*Example:* In `specgong.m`

- ▶ sampling interval:  $T_s = \frac{1}{44100}$
- ▶ number of samples:  $N = 2^{16}$
- ▶ total time:  $NT_s = 1.48$  seconds
- ▶ frequency resolution:  $\frac{1}{NT_s} = 0.67$  Hz

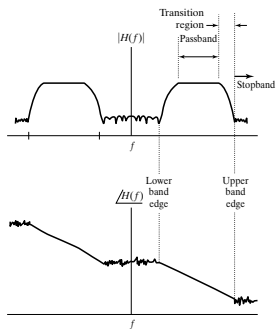


# Filter Design Tidbits

*Types:*

- ▶ lowpass filter
- ▶ high pass filter
- ▶ bandpass filter
- ▶ bandstop (notch) filter

*Bandpass filter spectra specification:*



## Filter Design Tidbits (cont'd)

From `help firpm`:

FIRPM performs Parks-McClellan optimal equiripple FIR filter design. i.e. a linear phase (real, symmetric coefficients) FIR filter which has the best approximation to the desired frequency response in the minimax sense.

`b = firpm(f1, fbe, damp)`

- ▶ `b` is the output vector containing the impulse response of the designed filter.
- ▶ `f1` is (one less than) the number of terms in `b`.
  - ⊙ `f1` ↑ ⇒ fit to design specs improves
  - ⊙ `f1` ↑ ⇒ computational costs increase
  - ⊙ `f1` ↑ ⇒ throughput delay increases

# Filter Design Tidbits (cont'd)

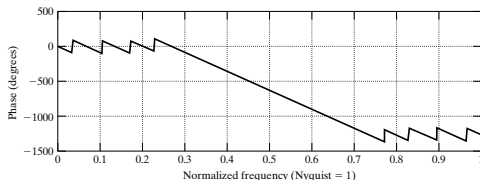
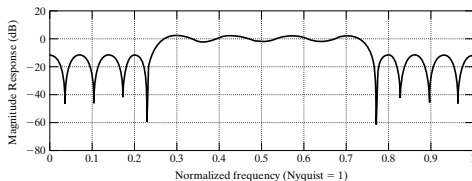
- ▶  $f_{be}$  is a vector of frequency band edge values as a fraction of the prevailing Nyquist frequency. For a basic bandpass filter:
  - ▶ bottom of stopband (presumably zero)
  - ▶ top edge of lower stopband (which is also the lower edge of the lower transition band)
  - ▶ lower edge of passband
  - ▶ upper edge of passband
  - ▶ lower edge of upper stopband
  - ▶ upper edge of upper stopband (generally the last value will be 1).
- ▶  $damps$  is the vector of desired amplitudes of the frequency response at each band edge.

# Filter Design Tidbits (cont'd)

From bandex with

- ▶ `fbe=[0 0.24 0.26 0.74 0.76 1]`
- ▶ `damps=[0 0 1 1 0 0]`
- ▶ `f1=30`

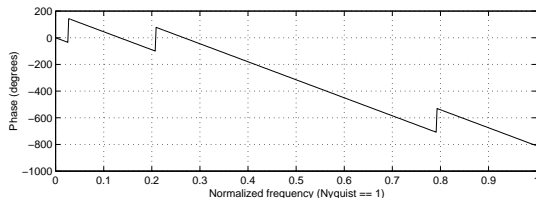
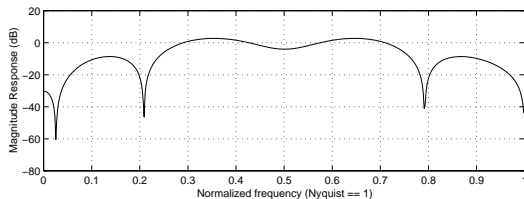
`b=firpm(f1,fbe,damps); freqz(b)` produces



# Filter Design Tidbits (cont'd)

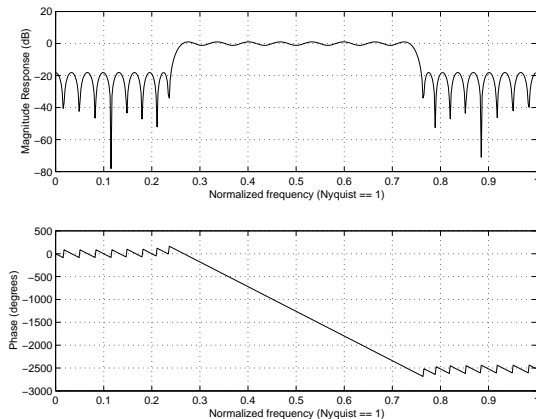
To demonstrate criteria fit impact of filter length, repeat preceding example with  $f_1$  halved and doubled.

►  $f_1=15$



# Filter Design Tidbits (cont'd)

►  $f_l=60$

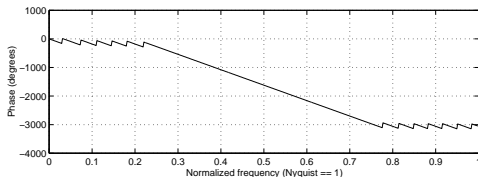
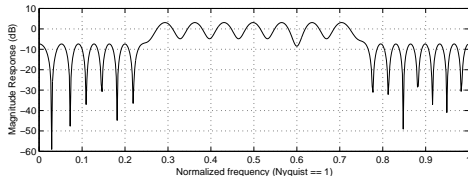


Note improved fit to design specifications with increase in filter length ( $f_l$ ).

# Filter Design Tidbits (cont'd)

Adding an in-band notch with

- ▶ `fbe=[0 0.24 0.26 0.59 0.595 0.605 0.61 0.74 0.76 1]`
- ▶ `damps=[0 0 1 1 0 0 1 1 0 0]`
- ▶ `f1=60`

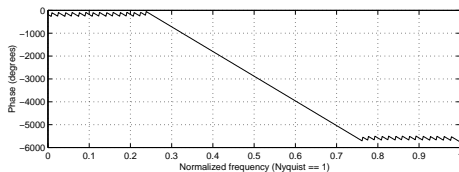
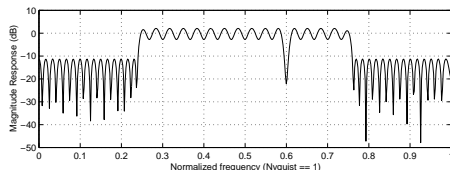


Desired notch (at normalized frequency = 0.6) is barely perceptible.



# Filter Design Tidbits (cont'd)

►  $f_1=120$



Note improved fit to design specifications with increase in filter length ( $f_1$ ). Desired notch (at normalized frequency = 0.6) is quite pronounced. *NEXT...* We discuss the conversion of bits to symbols to pulse-amplitude modulated signals and the reversal with correlation used to locate the frame break.